

07-12-01

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

"EXPRESS MAIL" MAILING LABEL

NUMBER

EF408411039US

DATE OF DEPOSIT

July 10, 2001

I HEREBY CERTIFY THAT THIS PAPER OR FEE IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE "EXPRESS MAIL POST OFFICE TO ADDRESSEE" SERVICE UNDER 37 CFR 1.10 ON THE DATE INDICATED ABOVE AND IS ADDRESSED TO: THE ASSISTANT COMMISSIONER FOR PATENTS, WASHINGTON, DC 20231.

TYPED NAME

Richard E. Backus

SIGNED

Richard E. Backus

Assistant Commissioner for Patents
Washington, DC 20231

Sir:

Transmitted herewith for filing is the patent application of Inventor(s):

A. David Johnson, Vikas Galhotra and Vikas Gupta

For: FREE STANDING SHAPE MEMORY ALLOY THIN FILM AND
METHOD OF FABRICATION

Enclosed are also:

- ☒ 3 Sheets of INFORMAL/~~FORMAL~~ drawing
☐ An Assignment of the invention to:
☐ Power of Attorney by Assignee & Exclusion of Inventor Under 37 CFR 1.32
☐ Combined Declaration and Power of Attorney for Patent Application
☐ Declaration for Patent Application
☐ Associate Power of Attorney
☐ Information Disclosure Statement (IDS)/PTO-1449; copies of IDS citations
☐ Request and Certification under 35 U.S.C. 122(b)(2)(i) with attached form PTO/SB/35

FEE CALCULATION

- ☒ Applicant claims small entity status. See 37 CFR 1.27.

| | (Col. 1) | | | (Col. 2) | x SMALL ENTITY | | |
|-------------------------------------------------------------------|-----------|---|------|-----------|----------------|---|--------|
| | NO. FILED | | | NO. EXTRA | RATE | | FEE |
| BASIC FEE | | | | | | | \$ 355 |
| TOTAL CLAIMS | 9 | - | 20 = | 0 | x \$ 9 | = | \$ |
| INDEP CLAIMS | 2 | - | 3 = | 0 | x \$ 40 | = | \$ |
| MULTIPLE DEPENDENT CLAIM PRESENTED → | | | | 0 | + \$ 135 | = | \$ |
| If the difference in Col 1 is less than zero, enter "0" in Col. 2 | | | | | TOTAL \$ 355 | | |

- ☒ Our Check No. 1129 in the amount of \$ 355 to cover the filing fee is enclosed.

- ☐ The Commissioner is hereby authorized to charge any additional fees which may be required, including extension fees, or credit any overpayment to Deposit Account No. 06-1300 (Order No. .
A duplicate copy of this sheet is enclosed.

Law Offices of Richard E. Backus
The Monadnock Building
685 Market Street, Suite 490
San Francisco, California 94105
Telephone: (415) 979-9876 Fax: (415) 974-6199

Respectfully submitted,

Richard E. Backus

Richard E. Backus
Reg. No. 22,701

JC997 U.S. PTO
09/902856

07/10/01